

### Company measures in a nutshell

At entry

Assess which laws and regulations apply in the country of origin\*

- Due Diligence: check whether the Provider acquired material compliantly and is authorized to transfer
- When CBD/NP applies: apply for PIC & MAT if needed and register the relevant information the acquired material

- Tracking & Tracing
- Transfer procedure: check compliance of exchange with contracts, with ABS-laws and with tax laws
- Project initiation: check background on material entering expensive projects

At exit

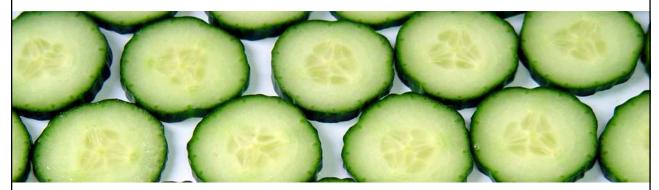
- Liability check: which terms and conditions must be respected before sharing, filing or selling?
- When CBD/NP applies: are due diligence declarations needed, as required in the European Union?

\* ABS Clearing House: https://absch.cbd.int; WIPO Lex: https://wipolex.wipo.int/en/main/legislation; FAOLEX: http://www.fao.org/faolex/country-profiles/en/; Union for Ethical Biotrade: https://www.ethicalbiotrade.org/resources;

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# **Company measures at BASF Vegetable Seeds**



- ABS-specialists
- Implementation of procedures on acquisition and transfer of genetic resources
- Education and audits
- Track & Trace system
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## Hurdles in access to genetic resources

#### **National laws / regulations**

- are not always easy to find
- are often only available in the local language
- are different from country to country and often difficult to interpret

#### Lack of legal certainty

- on material scope (commercial varieties, private collections, pathogens, leaf samples)
- on definition of utilization (reference varieties, pollinators, crop rotation, tools in R&D)

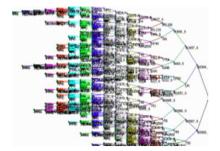
#### **National Focal Points**

- don't always speak English
- don't always respond to e-mails or only with a lot of delay (months) or in their local language
- don't always have realistic ideas on terms and conditions appropriate for the sector
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# **Hurdles in utilization of genetic resources**

- Exchange in international networks has become difficult (anti-globalization)
- Development of disease testing protocols to ensure safe global circulation of commercial seeds has become difficult
- Accumulation of documents and obligations in development of new varieties creates complexity





□ • BASF We create chemistry nunhems

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## SMTA: our preferred mechanism for Access and Benefit Sharing

In 2018 Nunhems was the first user to contribute to the FAO Trust Fund based on the sales of varieties with patented traits. We make yearly payments and in total we have contributed over 600'000 USD to the Fund.

These varieties have material in their pedigree, for which we signed a Standard Material Transfer Agreement (SMTA) at the time of access.

Since it concerns non-Annex 1 material it is not in scope of the Treaty (ITPGRFA), but in scope of the CBD/NP. We would welcome it if ALL crops for Food & Agriculture would fall under the regime of the Treaty.

Nunhems was the first breeding company to do use-based payments to the FAO Trust Fund, demonstrating that the system works, but that in plant breeding you just need to be patient. Now there are at least 4 other organizations contributing.





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#### Conclusions

- Conservation of biodiversity costs money, and it is fair that users of genetic resources pay their share
- The question is whether the chosen format is the best one:
  - High costs of implementation for both providers and users
  - Time consuming negotiations that slow down innovation
  - Reduced access endangers the 2<sup>nd</sup> CBD-objective (sustainable use)
  - Disappointment when access does not lead to benefit sharing
- Food for thought:
  - Can we develop a system where benefit sharing is not linked to the benefits arising from the access of a specific genetic resource?
  - Should consumers/end-users also pay their share?







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## Take home message

# **COMPLY WITH ABS-REGULATIONS**

#### **Entomologists convicted**

Subhra Priyadarshini

doi:10.1038/nindia.2008.277 Published online 8 September 2008

Despite international appeals for their release, Czech entomologists Petr Švácha and Emil Kučera were convicted by an Indian court on September 8 for violating the country's wildlife protection act. They were taken into custody on June 22 after samples of live and preserved insects collected from West Bengal's Singalila National Park were found from their hotel room in Srikhola.



Švácha (left) & Kučera © The Telegraph



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